

1795A

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231, ON THE DATE INDICATED BELOW.

By: Sheila Cogan

Date: November 13, 2001



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patent Application of
Nanning J. Arfsten, *et al.*

: Group Art Unit: 1775

Appln. No.: 09/437,948

: Examiner: J. McNeil

Conf. No.: 8672

Filed: November 10, 1999

For: NIOBIUM OXIDE-BASED LAYERS
FOR THIN FILM OPTICAL COATINGS
AND PROCESSES FOR PRODUCING
THE SAME

: Attorney Docket
No. 275-3

RECEIVED
JAN 14 2002
TC 1700

AMENDMENT TRANSMITTAL LETTER

Transmitted herewith is an Amendment in the above-identified application.

☐ Substitute Specification.

☒ Small Entity status:

☒ has previously been claimed/established.

☐ is hereby claimed under 37 C.F.R. §1.27, as ☐ an Independent Inventor, or ☐ a Small Business Concern, or ☐ a Non-Profit Organization.

The additional claim fees have been calculated as follows:

					SMALL ENTITY		LARGE ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE	RATE	ADDIT. FEE
TOTAL	10	(-)	20	0	x\$9		x\$18	
INDEP.	5	(-)	4	1	x\$42	1	x\$84	
<input type="checkbox"/> 1st PRESENTATION OF MULTIPLE DEPENDENT CLAIMS					+\$140		+\$280	
					TOTAL	\$42		

The additional claim fees are being paid by:

- ☒ [X] A check in the amount of \$42.00.
- ☒ [X] Authorization to charge and/or credit **Deposit Account No. 50-1017 (Billing No. 200275.0003)** as noted below. A duplicate copy of this sheet is enclosed.
- ☒ [X] Any overpayments or deficiencies in the above-calculated fee.
- ☐ [] Additional claim fee in the amount of \$ ____ .00 as calculated above.
- ☒ [X] Any additional fees required under 37 C.F.R. § 1.16 and/or § 1.17.
- ☒ [X] In the event that a Petition for Extension of Time is required, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account.

CORRESPONDENCE ADDRESS

11/11/01
(Date)

By:


LYNDA L. CALDERONE

Registration No. 35,837

AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.

One Commerce Square

2005 Market Street - 22nd Floor

Philadelphia, PA 19103-7086

Telephone: (215) 965-1200

Direct Dial: (215) 965-1272

Facsimile: (215) 965-1210

E-Mail: lcalderone@akingump.com

LLC/CJSC:CJSC

Enclosure